WIRRAL

Audit and Risk Management Committee
Monday, 30 January 2017

REPORT TITLE:	CORPORATE RISK REGISTER: UPDATE
REPORT OF:	Chief Executive

REPORT SUMMARY

This report confirms the outcome of the most recent quarterly review of the Corporate Risk register. Progress towards the development of risk registers for Pledge Strategies, new Delivery Units and the revised Transformation Programme are also summarised. A summary of the status of key mitigating actions for the existing Corporate Risks at the end of quarter two 2016/17 is appended to the report.

RECOMMENDATION/S

1. That Members consider the report on progress in managing the corporate risks.

2. That further reports on the Corporate Risk Register be brought to future meetings of this Committee.

1.0 REASON/S FOR RECOMMENDATION/S

1.1 Having an understanding of the Council's principal risks and their controls supports the Committee's responsibility in relation to the adequacy of the Council's risk management framework.

1.2 The provision of regular reports to this Committee on the Corporate Risk Register is a requirement of the Council's Corporate Risk Management Policy.

2.0 OTHER OPTIONS CONSIDERED

2.1 None

3.0 BACKGROUND INFORMATION

3.1 Following adoption of the Wirral Plan in 2015 the Strategic Leadership Team (SLT) revised the Council's Corporate Risk Register. This was reported to this Committee on 14 June 2016.

3.2 A review is undertaken at the end of each quarter by SLT. This addresses progress in relation to the management of the existing corporate risks as well as emerging risk areas for possible addition to the register.

REVIEW QUARTER TWO 2016/17

3.3 The review was undertaken on 30 November 2016. To support this exercise status updates on all key mitigating actions at the end of Quarter 2 had been obtained from officers across the Council. That information is summarised within the appendix to this report.

3.4 The information indicated that all mitigating actions appeared to be progressing as planned with the exception of:

- The development of extra care housing (Risk 5: Integration of Health and Social Care)

- The recruitment of interim and permanent resource for the new Transformation Office (Risk 2: Organisation Development and Pace of Transformational Change)

The Strategic Leadership Team took the view that the degree of progress made as at the end of Quarter 2 did not warrant changes to the scores for any of the existing corporate risks.

3.5 SLT also agreed amendments to the controls for the 'Safeguarding' risk which had been proposed by the Director of Children's Services in light of the

Ofsted report. Those changes had been reported to this committee at its last meeting.

3.6   SLT also considered the following risk topics relating to recent and ongoing changes both within the Council and in the wider environment:

3.6.1   Uncertainty for the Council's financial position created by the Chancellor of the Exchequer's Autumn Statement and the Local Government Finance Settlement.

3.6.2   Continuing uncertainty about the country's future relationship with the EU and the potential for this to create additional challenges for the longer term delivery of the Wirral Plan.

3.6.3   The increasing frequency of 'Cyber' attacks on information and communication systems

        As regards 3.6.1 SLT agreed that the uncertainty meant that the existing corporate risk should remain high but that it did not warrant a further increase in its score.

        In relation to 3.6.2 SLT took the view that a high level of uncertainty remained but that things were still too unclear to warrant the addition of this topic to the register.

        As regards 3.6.3 SLT acknowledged the significant impact that a successful 'cyber' attack would have. However it was more appropriate that this risk was initially captured in an operational risk register and escalated to the Strategic Leadership Team if it could not be effectively controlled. I will ensure that this risk is captured and considered as part of the development of the risk register for the Council's Business Services function.

        DEVELOPMENT OF OTHER RISK REGISTERS

3.7   The Risk and Insurance Manager has facilitated a number of risk workshops for Wirral Plan Pledge Strategy Steering Groups over recent weeks. More workshops are planned for this month.

3.8   Requirements regarding the identification and recording of risks to the service plans for all 3 functions within the New Operating Model have been circulated. The Risk and Insurance Manager is visiting all management teams to offer support in meeting the requirements.

3.9   The identification of risks to projects and programmes within the revised Transformation Programme is continuing. Again the Risk and Insurance Manager is providing support by facilitating risk workshops.

4.0   FINANCIAL IMPLICATIONS

4.1   There are no direct implications arising from this report.

5.0 LEGAL IMPLICATIONS

5.1   There are no direct implications arising from this report

6.0 RESOURCE IMPLICATIONS: ICT, STAFFING AND ASSETS

6.1   There are no direct implications arising from this report.

7.0 RELEVANT RISKS

7.1   There are no risks arising directly from this report.

8.0 ENGAGEMENT/CONSULTATION

8.1   Arrangements for the production of risk registers for Pledge Strategies, the Transformation Programme and business plans were developed in consultation with the Policy, Performance & Scrutiny and Change teams.

9.0 EQUALITY IMPLICATIONS

9.1   There are none arising directly from this report.

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APPENDICES
2016/17 CRR Controls 300916

REFERENCE MATERIAL
N/A

SUBJECT HISTORY (last 3 years)

Council Meeting	Date
Audit & Risk Management Committee	**22nd November 2016**
Audit & Risk Management Committee	**26th September 2016**
Audit & Risk Management Committee	**13th June 2016**
Audit & Risk Management Committee	**17th February 2016**